



## **Breakdown of CTEC Registrants of 2021/2022 Cycle Year as of April 14, 2022**

### **Submitted: 4,367**

Applicants in this category have submitted an application for registration and are waiting for background check reports to be issued by the California Department Of Justice. This number includes approximately 2000 applications from the 2020/2021 cycle year that were transferred to the new cycle year. These 2000 applications do not have a corresponding DOJ record.

### **Pending: 445**

Applicants in the pending category have started the application process but have not actually submitted the application.

### **Initial review: 19**

Applicants are placed in the initial review queue pending a response to CTEC's Request for Information because they have a related criminal conviction.

### **Background review: 2**

Applicants placed in background review have related criminal convictions on their CA DOJ report and have either provided a response to CTEC's request for information or have not provided a response within the 28-day time frame allotted by CTEC.

### **Approved: 3,189**

Applicants have passed the background check. This number includes applicants who have requested a hearing and have been approved by CTEC's Hearing Officers

### **Proposed Denials: 12**

Background Reviewers have proposed to deny the application for registration because of violations of the provisions in TP-12.

Applicants are given 30 days to request a hearing. If the applicant does not request a hearing within the allotted time frame, the proposed decision to deny becomes final and effective 40 days after the letter of proposed denial and all attachments are mailed via and emailed to the applicant. Applicants are barred from applying for a CTEC registration for five years from the date of the final denial.

### **Hearing Review: 0**

Applicants have requested a hearing to oppose the Background Reviewers' proposed decision to deny the application for registration, and are waiting for a final decision from the Hearing Officers.

### **Hearings Held: 5**



**Closed-Registered: 3,777**

Applicants have completed the registration process.

**Closed-Denial: 1**

Hearing Officers have decided to uphold the BR's proposed decision to deny the application for registration. Applicants are barred from applying for a CTEC registration for five years from the date of the final denial.

**Closed-Expired: 0** This status is for applications that have not been completed within 18 months of the date submitted.

**Close-Cancelled: 42** – This status is for applications that have been submitted in error or the applicant does not wish to continue the application process.

**2021/2022 Total Registered Applications: 3,796**

**2021/2011 Total Applications: 11,944**

Total Registered Applications for 2020/2021 Cycle Year: 4,699

Proposed Denials for 2020/2021 Cycle Year: 58

Appeals for 2020/2021 Cycle Year: 7

Final Denials: 2

Final Approval: 5

STATE OF CALIFORNIA

DEPARTMENT OF JUSTICE  
Bureau of Criminal Information and Analysis  
P.O. Box 903417  
Sacramento, CA 94203-4170

DATE: 03/28/2022

CANCTEC  
PO BOX 2890  
SACRAMENTO CA 95812

\* \* \* \* \* CA DELAY NOTIFICATION \* \* \* \* \*

RE: This is to notify you of a delay in completing the following applicant transaction.

APP ORI: AP564  
APP NAME: [REDACTED]  
APP TYPE: TAX PREPARER 22253.5 BPC  
APP TITLE: CA REGISTERED TAX PREPARER  
APP SERVICE REQUESTED: CA/  
OCA:  
SID: [REDACTED]  
DOB: [REDACTED]  
SSN: [REDACTED]  
CDL: [REDACTED]  
ATI: S087WRT002  
OATI:  
DATE SUBMITTED: 03/28/2022  
SCN #: EJ8P0870008

APP ADDRESS: [REDACTED]

\*\*\*\*  
THIS BACKGROUND CHECK REQUIRES MANUAL INTERVENTION AND WILL TAKE TIME TO COMPLETE. QUESTIONS RELATED TO THE DELAY (THAT CAN BE CAUSED BY MANY FACTORS) CANNOT BE RESPONDED TO AS THERE IS NO PERTINENT INFORMATION THAT CAN BE STATUTORILY PROVIDED TO YOU UNTIL THE REVIEW OF ALL RELEVANT INFORMATION IS COMPLETE.

\*\* DO NOT HAVE THE APPLICANT CONTACT THE DOJ ABOUT THEIR BACKGROUND CHECK. THE DOJ CANNOT PROVIDE THE APPLICANT WITH ANY INFORMATION.

Electronic Response Code: 25143

If there are any questions pertaining to this response, please contact the program at [appagencyquestions@doj.ca.gov](mailto:appagencyquestions@doj.ca.gov).

STATE OF CALIFORNIA

DEPARTMENT OF JUSTICE  
Bureau of Criminal Information and Analysis  
P.O. Box 903417  
Sacramento, CA 94203-4170

DATE: 04/12/2022

CANCCTEC  
PO BOX 2890  
SACRAMENTO CA 95812

RE: DOJ APPLICANT FINGERPRINT RESPONSE

APP ORI: AP564  
APP NAME: [REDACTED]  
APP TYPE: TAX PREPARER 22253.5 BPC  
APP TITLE: CA REGISTERED TAX PREPARER  
APP SERVICE REQUESTED: CA/  
OCA:  
SID: A005702330  
DOB: [REDACTED]  
SSN: [REDACTED]  
CDL: [REDACTED]  
ATI: S087WRT002  
OATI:  
DATE SUBMITTED: 03/28/2022  
SCN #: EJ8P0870008

APP ADDRESS: [REDACTED]

\*\*\*\*

Based upon a fingerprint search of records contained in the Bureau of Criminal Information and Analysis files, there is no information meeting dissemination criteria pursuant to California law on the above-named individual.

Our records indicate you have requested, or are statutorily mandated to receive, subsequent arrest notification service from the Department of Justice. Therefore, you will be advised of subsequent arrests for this individual. Pursuant to Section 11105.2 of the California Penal Code, your agency is required to notify the Department of Justice when it no longer has a legitimate interest in this subject, (i.e., the applicant is no longer, or was never employed; his/her license or certification is denied or revoked; or he/she is no longer eligible to renew or re-instate the license or certificate).

Electronic Response Code: 25143

If there are any questions pertaining to this response, please contact the program at [appagencyquestions@doj.ca.gov](mailto:appagencyquestions@doj.ca.gov).

STATE OF CALIFORNIA

DEPARTMENT OF JUSTICE  
Bureau of Criminal Information and Analysis  
P.O. Box 903417  
Sacramento, CA 94203-4170

DATE: 11/12/2021

CANCCTEC  
PO BOX 2890  
SACRAMENTO CA 95812

RE: DOJ APPLICANT FINGERPRINT SUBMISSION  
\* \* \* \* \* DOJ APPLICANT REJECT NOTICE \* \* \* \* \*

APP ORI: AP564  
APP NAME: [REDACTED]  
APP TYPE: TAX PREPARER 22253.5 BPC  
APP TITLE: CA REGISRTERED TAX PREPARER  
APP SERVICE REQUESTED: CA/  
OCA:  
SID:  
DOB: [REDACTED]  
SSN: [REDACTED]  
CDL: [REDACTED]  
ATI: [REDACTED]  
OATI:  
DATE SUBMITTED: 11/12/2021  
SCN #: K5403160001  
APP ADDRESS: [REDACTED] CA 94513

\*\*\*\*

The Department of Justice was unable to process the applicant fingerprint transaction for this individual due to the reason listed below.  
The applicant must be re-fingerprinted either electronically at any Live Scan site, or, if the individual resides out-of-state, on a standard FBI applicant fingerprint card (FD-258).

This applicant fingerprint submission was rejected for the following reason(s):  
Fingerprint Quality Too Low To Be Used  
To re-submit this transaction, please reference the ORIGINAL APPLICANT TRACKING IDENTIFIER (OATI) S316FOJ001. If a fingerprint card is used for the re-submission, this OATI number must be recorded in the class line area of the fingerprint card.

THE APPLICANT AGENCY:

If you have a subsequent arrest contract, or you are otherwise entitled to subsequent arrest information, you cannot receive subsequent arrest notification service on this applicant without re-submitting. Any previous response regarding this applicant submission is invalid.

You may re-submit once based on these instructions without incurring additional processing fees.

Electronic Response Code: 25143

If there are any questions pertaining to this response, please contact the program at [appagencyquestions@doj.ca.gov](mailto:appagencyquestions@doj.ca.gov).

STATE OF CALIFORNIA

DEPARTMENT OF JUSTICE  
Bureau of Criminal Information and Analysis  
P.O. Box 903417  
Sacramento, CA 94203-4170

DATE: 03/22/2022

CANCCTEC  
PO BOX 2890  
SACRAMENTO CA 95812

RE: DOJ APPLICANT FINGERPRINT 2ND SUBMISSION  
\* \* \* \* \* DOJ APPLICANT REJECT NOTICE \* \* \* \* \*

APP ORI: AP564  
APP NAME: [REDACTED]  
APP TYPE: TAX PREPARER 22253.5 BPC  
APP TITLE: CA REGISTERED TAX PREPARER  
APP SERVICE REQUESTED: CA/  
OCA:  
SID:  
DOB: [REDACTED]  
SSN: [REDACTED]  
CDL: [REDACTED]  
ATI: [REDACTED]  
OATI: [REDACTED]  
DATE SUBMITTED: 03/21/2022  
SCN #: K54P0800003

APP ADDRESS: [REDACTED] CA 94513

\*\*\*\*\*

The Department of Justice was unable to process the applicant fingerprint transaction for this individual due to the reason listed below.

This applicant fingerprint submission was rejected for the following reason(s):  
Fingerprint Quality Too Low To Be Used

THIS IS THE SECOND REJECTION DUE TO POOR QUALITY FINGERPRINTS. A DOJ Name Check will automatically be processed. No additional processing fees will be charged for this name check.

Electronic Response Code: 25143

If there are any questions pertaining to this response, please contact the program at [appagencyquestions@doj.ca.gov](mailto:appagencyquestions@doj.ca.gov).

STATE OF CALIFORNIA

DEPARTMENT OF JUSTICE  
Bureau of Criminal Information and Analysis  
P.O. Box 903417  
Sacramento, CA 94203-4170

DATE: 04/15/2022

CANCCTEC  
PO BOX 2890  
SACRAMENTO CA 95812

RE: DOJ APPLICANT NAME SEARCH

A NON-FINGERPRINT BASED SEARCH OF DEPARTMENT OF JUSTICE FILES REVEALS  
NO INFORMATION MEETING DISSEMINATION CRITERIA PURSUANT TO  
CALIFORNIA LAW, FOR THE PERSON NAMED BELOW, BASED ON NAME, BIRTH DATE  
AND/OR OTHER IDENTIFYING INFORMATION.

APP ORI: AP564  
APP NAME: [REDACTED]  
APP TYPE: TAX PREPARER 22253.5 BPC  
APP TITLE: CA REGISTERED TAX PREPARER  
APP SERVICE REQUESTED: CA/  
OCA:  
SID: [REDACTED]  
DOB: [REDACTED]  
SSN: [REDACTED]  
CDL: [REDACTED]  
ATI: S080FOJ003  
OATI: S316FOJ001  
DATE SUBMITTED: 03/21/2022  
SCN #: K54P0800003

APP ADDRESS: [REDACTED] CA 94513

\*\*\*\*

This notification is being provided to you pursuant to Penal Code  
Section 11105.7. The Department of Justice has determined that the  
applicant is currently unable to provide legible fingerprints due to  
disability, illness, accident or other conditions beyond his/her  
control. Penal Code Section 11105.7 states: "The Department of  
Justice will make a determination that the person presently is unable  
to provide legible fingerprints, and therefore shall be deemed to  
have complied with the statutory requirement to submit fingerprints.  
The Department, using available personal identifying data that the  
Department deems appropriate, shall then conduct a search to  
determine if the person has a criminal history."

Our records indicate you have requested, or are statutorily mandated to receive,  
subsequent arrest notification service from the Department of Justice. Therefore,  
you will be advised of subsequent arrests for this individual. Pursuant to  
Section 11105.2 of the California Penal Code, your agency is required to notify  
the Department of Justice when it no longer has a legitimate interest in this  
subject, (i.e., the applicant is no longer, or was never employed; his/her  
license or certification is denied or revoked; or he/she is no longer eligible  
to renew or re-instate the license or certificate).

Electronic Response Code: 25143

If there are any questions pertaining to this response, please contact the  
program at [appagencyquestions@doj.ca.gov](mailto:appagencyquestions@doj.ca.gov).



Supriya Panda &lt;spanda@ctec.org&gt;

**CTEC Registrant - Resubmit Fingerprints**

2 messages

**Supriya Panda** <spanda@ctec.org>

Sun, Nov 21, 2021 at 1:48 PM

To: [REDACTED]

Dear [REDACTED]

You submitted an application with the California Tax Education Council on November 10, 2021. Your background check report was received by CTEC; however, the following message was posted to your report:

The Department of Justice was unable to process the applicant fingerprint transaction for this individual due to the reason listed below. The applicant must be re-fingerprinted either electronically at any Live Scan site, or, if the individual resides out-of-state, on a standard FBI applicant fingerprint card (FD-258).

This applicant fingerprint submission was rejected for the following reason(s): Fingerprint Quality Too Low To Be Used

To re-submit this transaction, please reference the ORIGINAL APPLICANT TRACKING IDENTIFIER (OATI) [REDACTED]

If a fingerprint card is used for the re-submission, this OATI number must be recorded in the class line area of the fingerprint card.

You may re-submit once based on these instructions without incurring additional processing fees.

In order to process your application, you will have to resubmit your fingerprints at a LiveScan facility, or on a standard FBI fingerprint card (FD-258) if you live out of state. As stated above, please reference your OATI number: [REDACTED] when you resubmit your fingerprints. Note that CTEC cannot process your application without a background check report by the California Department of Justice.

Please do not hesitate to contact me with further questions or concerns.

Regards,  
Supriya Panda

[New CTEC Logo](#)**Supriya Panda****Paralegal****California Tax Education Council**

P.O. Box 2890 | Sacramento, CA 95812

**Phone:** (530) 648-0972 **fax:** 877-851-2832[spanda@ctec.org](mailto:spanda@ctec.org) | [www.ctec.org](http://www.ctec.org) | [info@ctec.org](mailto:info@ctec.org)